

Civil Penalties that have been Reduced

<u>Committee or Person</u>	<u>Committee Type or County</u>	<u>Date of Request</u>	<u>Date of Board Order</u>	<u>Request Reason</u>	<u>Summary of Board Order</u>
Appanoose County Republican Central Committee	Appanoose	7/30/2014	10/2/2014	internet not working on day of report and auditor was not available to take call	reduction to \$10
Benton County Democratic Central Committee	Benton	9/3/2010	10/14/2010	Treasurer was working 6 days a week, 12 hour days for a month during nuclear plant shutdown maintenance and forgot report was due	Reduce penalty to \$50
Ronald Buch for Supervisor	Benton	2/10/2006	3/30/2006	Thought committee was previously closed and paperwork completed.	Reduce to \$20 from \$50.
Cole for Council	Black Hawk	12/18/2003	4/22/2004	Confusion with due dates due to runoff election	Reduce to \$20 from \$50
Wieland for Council	Black Hawk	12/11/2003	4/22/2004	Confusion with due dates due to runoff election	Reduce to \$10 from \$20
Wapsie Valley KIDS Committee, Aaron Sauerbrei, Chair	Black Hawk, Bremer, Bucha	9/2/2008	2/25/2009	filed all 4 reports at once and voluntarily, has retained professional help for election cycle and was notified at that time about filing reports	reduce penalty to \$100
Lynn Brase for Auditor	Bremer	7/27/2004	10/21/2004	Self-reported that report was faxed to the wrong number.	Reduce to \$10 from \$20
Butler County Democratic CC, Eileen Johnson, Chair	Butler	3/1/2010	4/29/2010	19th was a postal holiday and she did not get the report mailed until the 20th	reduce penalty by 50%
Curt Sern for Supervisor	Calhoun	2/20/2003	4/3/2003	Waited to make sure report was filed correctly.	Reduce to \$20 from 4100
Bass, Friends to Elect Douglas	Carroll	12/26/2012	8/8/2013	Incumbent sheriff running unopposed and was really busy during election year. Forgot to update Statement. Only expenses were a than k you ad after the election.	Reduce penalty to \$50 in recognition of oversight. There was no intent.
McFadden, Duane for County Supervisor	Cass	2/4/2013	8/8/2013	Did not receive reminders to file during the 2012 year therefore 3 reports were not filed.	Reduce penalty to one report due for this offense because he didn't update his statement so we were not aware he was running in 2012 and did not send reminders for election year reports

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Wethington, Committee to Elect Warren	Cedar	10/11/2012	11/16/2012	blind daughter with lots of summer activity, doctor appointments out of state and overlooked reports being due as sheriff is running unopposed and there is no activity	Reduce to \$50
Chickasaw County Republican Central Committee	central	2/15/2012	4/27/2012	treasurer frustrated with new filing requirements. Report was completed but never submitted.	reduce to \$25 to acknowledge attempt to file
Montgomery County RCC	central	6/26/2012	8/23/2012	thought report was timely filed, appears that it was entered not submitted	reduce to \$10 attempted to file timely
Sioux County DCC	central	8/23/2012	8/23/2012	new officers ready to start over, but large penalties from years/reports when there were none. No money in account	reduce total amount of penalties to reflect inactivity
Allamakee County Republican Central Committee	Central Committee	7/24/2003	9/11/2003	Under doctor's care for depression.	Reduce to \$250 from \$500
Dallas County Democratic Central Committee	Central Committee	10/25/2006	12/21/2006	out of state travel and computer problems	reduce penalty
Dallas County Democratic Central Committee	Central Committee	8/1/2003	9/11/2003	Treasurer caring for ill family member.	Reduce to \$50 from \$100
Davis County Republican Central Committee	Central Committee	3/16/2007	6/28/2007	treasurer was ill during reporting period	as chair is ultimately responsible and should have filed the report, but treasurer normally did so, reduce penalty to half
Howard County Republican Central Committee	Central Committee	10/10/2006	12/21/2006	thought the report was filed electronically, but did not submit	reduce penalty to first level
Montgomery County Republican Central Committee	Central Committee	7/27/2006	12/21/2006	medical issues before reporting dates, new treasurer	reduce penalty to first level
Pocahontas County Republican Central Committee	Central Committee	5/22/2003	7/24/2003	Thought previous treasurer had filed. Former treasurer said he filed but couldn't produce copy.	Reduce to \$50 from \$100
Ringgold County Republican Central Committee	Central Committee	12/4/2003	4/22/2004	Little activity; small balance	Reduce to \$20 from \$50
Citizens for Max Weaver	Cerro Gordo	2/19/2004	4/22/2004	Confused January 1 and January 19 reports	Reduce to \$20 from \$50
Splash 2000	Cerro Gordo	2/6/2004	4/22/2004	Confusion on whether a report was due	Reduce to \$100 from \$200

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Gleason, Megan Citizens for the Hotel/Motel Tax	Chickasaw	10/30/2012	8/8/2013	before filing was due, created filing period and put in what contributions and expenditures were. When complete, did not see file report button and thought the report was complete.	reduce to \$10 due to filing error by user
Miller, Todd W for Sheriff	Chickasaw	9/6/2012	11/16/2012	would not have crossed if paid for out of pocket expenses but deposited own money into account to write committee checks.	reduce to \$50 (second level)
Clarke County Republican Women	Clarke	12/2/2008	4/30/2009	fax machine does not provide errors and thought reports were filed	not a valid reason for waiver
Clinton County Vision Iowa Committee	Clinton	12/18/2003	4/22/2004	Chair believed treasurer was also receiving reminder letters	Reduce to \$50 from \$100
Krumm for Auditor	Dallas	8/10/2012	8/23/2012	thought report was submitted, used wrong filing period	attempt was made to timely file, reduce penalty to first step
Dickinson County Democratic Central Committee, Bob Koepp, Chair	Dickinson	9/9/2008	2/25/2009	Turnover of officers, reminders sent to old chair. Treasurer in car accident	reduce penalty to \$200
Ann E. Michalski for Mayor	Dubuque	2/25/2004	4/22/2004	Did not receive notice of and did not know due dates; no fundraising; no access to internet for forms; no leftover funds to pay fines	Reduce to \$150 from \$500
Craig Beytien for School Board	Dubuque	2/23/2009	4/30/2009	treasurer was out of town with family emergency	not a valid reason for waiver, as candidate is responsible
Fayette County Republican Central Committee	Fayette	7/28/2010	10/14/2010	did not "submit" report electronically, though all information was entered	reduce penalty to \$10
Marr, David for Supervisor	Floyd	5/29/2013	8/8/2013	No activity until October 2012 and thought that completed filing. Emails were returned as son is a Marine and deployment and other family issues took priority through summer & fall.	Reduce to \$75 due to son's deployment
Sackville for Mayor Committee	Franklin	2/10/2004	4/22/2004	Confused about first of month after election deadline; small campaign; no funds	Reduce to \$50 from \$100

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Miner for Sheriff (Morris)	Howard	2/8/2010	4/29/2010	First time candidate and filed a final report and closed bank account, but did not realize a Notice of Dissolution was required, so also did not realize another report would be due	reduce penalty to first level
Ida County Democratic Central Committee	Ida	9/15/2010	10/14/2010	only had \$100 in activity, and do not have \$200 penalty in funds, reminders were sent to wrong email address	reduce penalty to \$100
Ida County Republicans, Mark Leonard, Chair	Ida	12/17/2008	2/25/2009	son in farm accident the first of the month, and report was overlooked	reduce penalty to \$10
Bailey for Iowa City	Johnson	2/27/2008	5/15/2008	prepared report, but only thought it was submitted, it actually was not submitted until she amended the report later.	reduce penalty in half as attempt to file was shown.
Carousel Motors	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Coldwell Banker Real Estate Prof.	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Hawkeye State Bank	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Hills Bank & Trust	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$100
Hodge Construction	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
HyVee	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Iowa State Bank & Trust	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Lensing Funeral Home	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Lepic Kroger Realtors	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
McComas-Lacina	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
MSI Mold Builders	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50

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NCS Pearson	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$150
Neuman Monson	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Oral-B Laboratories	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Raley Investments, Inc.	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Shive-Hattery, Inc.	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
The People for Hearn	Johnson	2/6/2012	4/27/2012	Created committee and then didn't run. Never raised money or incurred debt	reduced penalty from 200 to 25
UI Credit Union	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
West Music	Johnson	3/3/2003	4/3/2003	Confusion by permanent organization on need to file.	Reduce to \$20 from \$50
Appleget, Becki	Keokuk	9/11/2012	11/16/2012	created a committee but did not think she had to file since she had not crossed the threshold amount	reduce to first level
Garman, Amber for Kossuth Auditor	Kossuth	9/18/2012	11/16/2012	started election with 17.55 and was self funding the campaign	reduce to first level
Kossuth County Democratic Central Committee	Kossuth	8/31/2010	10/14/2010	had a variety of issues with electronic filing system while attempting to enter and file	reduce penalty to acknowledge attempt to timely file
Plathe, Committee to Re-elect Jack	Kossuth	11/9/2012	11/16/2012	thought I had filed the report on the 19th until notified by Tim Annee. Called and found out I failed to click the "submit" button and the report was not transferred	reduce to \$10 to show attempt to timely file
Kids Deserve It!	Lee	1/20/2004	4/22/2004	No reminders sent and failure to file was unintentional	Reduce to \$100 from \$300
Lee County Republican Central Committee	Lee	7/6/2010	10/14/2010	states they did not get reminders and had issues with email and web access	Reduce penalty to \$10

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Alons, Dwayne Alons for Representative	Legislative	6/2/2008	8/28/2008	treasurer waited to file report because of questions regarding the posting of deposit/expenditure to RPI.	reduce penalty to \$25 since the attempt was made to file, and the amount triggering supplementary report was minimal
Carnahan for Iowa House	Legislative	7/19/2010	10/14/2010	\$500 contribution was received by wire the day of cutoff, but name and address were not known, nor were treasurer and chair aware of contribution until after the report due date.	Reduce to \$25
Cindy Golding for Iowa Senate	legislative	1/20/2015	3/26/2015	did not realize the cut off time was 4:30, filed at 5:08	reduce penalty to recognize attempt to file
Edward, Dave for Iowa Senate	legislative	11/5/2012	8/8/2013	was extremely busy week of the report, and had to stop when entering a check to find a required check number causing the report to be 4 minutes late	reduce penalty to \$50 as report was shown as received only 5 minutes, 48 seconds late
Elect Rick Olson to House of Representatives	legislative	8/13/2014	10/2/2014	user had problems inputting checks, was reporting in wrong reporting period. Report was filed a little late	reduction to \$25
Grassley, Patrick, Citizens for	Legislative	2/20/2009	2/25/2009	fax machine was busy at 4:30 and required an hour to contact	reduce penalty to \$50 as time of delinquency was minor
Heckroth for Senate	Legislative	6/15/2010	10/14/2010	supplemental report and was correcting out of period entries, etc and did not watch time. Submission was 24 minutes late	Reduce penalty to \$24
Hulsizer for House	Legislative	8/7/2006	12/21/2006	eletronic filing problems	since auditor responded timely, reduce to first level of penalty
Kennedy, Dan & Kennedy for Iowa House	Legislative	4/8/2011	7/19/2011	lost contact with treasurer after losing election, grandson stillborn in late December, did not remember receiving a reminder to file	reduce penalty to amount left in campaign account and request closure of committee
Kraayenbrink for Iowa Senate (Tim)	legislative	2/10/2015	3/26/2015	entered data but neglected to "submit" the filing	reduce by half to recognize attempt to file
Marek for State Representative (Larry)	legislative	2/4/2015	3/26/2015	neglected to "submit" report although data had been input	reduce penalty to recognize attempt to file.

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May, Mike & May for Iowa House	Legislative	3/28/2011	7/19/2011	states report was not filed because of "technical glitch" in the system. In actuality, the wrong filing date was created and the system would not submit because of that error	reduce penalty by half due to committee attempting to file timely
McCarthy, Kevin McCarthy for Iowa	Legislative	6/2/2008	8/28/2008	attempted to file timely via computer, but their connection timed out and the report was assumed to be filed and was not	reduce penalty to \$25 in acknowledgement of attempt to timely file the report
Nemecek, Emma 08	Legislative	11/7/2008	2/25/2009	distraction occurred by treasurer regarding another committee reporting and report was submitted 9 minutes late	reduce to \$25 since filing was only minutes late
Ruth Ann Gaines for State Representative	Legislative	8/25/2010	10/14/2010	thought entering information would create new report, but found it amended previous filing	reduce penalty to acknowledge attempt to file
Salmon, Sandy, Friends of	legislative	12/1/2010	2/24/2011	first time running, no activity to report for filing period, did not receive reminder	reduce penalty
Sorenson, Kent Sorenson for Statehouse	Legislative	6/26/2008	8/28/2008	states Treasurer was new to electronic filing system and because of this submitted the report 15 minutes late	reduce penalty to \$25 in acknowledgement of attempt to file
Steve Roe for Iowa Committee	legislative	6/5/2014	10/2/2014	report was started but not submitted due to several connection issues and was submitted when able to connect	reduction to \$25
Wearmouth, Rebecca for Iowa Senate	Legislative	10/29/2008	2/25/2009	new treasurer with electronic filing states she was unable to file a continuing report without help from our auditor and didn't get it filed until the 21st because of this	reduce penalty to \$25
Adams-Rural Voice-2004	Legislative Candidate	3/24/2006	7/12/2006	Thought filing obligations were done when campaign was over	Reduce to \$100 from \$200
Anderson for House	Legislative Candidate	1/24/2003	4/3/2003	2 jobs and in law school. Spouse pregnant, then gave birth.	Reduce to \$50 from \$500
Behn for Senate	Legislative Candidate	7/12/2004	10/21/2004	No primary opponent. Importance of pre-primary report reduced.	Reduce to \$50 from \$200
Carolyn Koos for State Representative	Legislative Candidate	6/6/2003	7/24/2003	No activity, committee insolvent.	Reduce to \$200 from \$600
Citizens for Schueller	Legislative Candidate	1/26/2005	2/17/2005	Could not "submit" report electronically.	Reduce to \$25 from \$50

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Citizens to Re-Elect Wayne Ford	Legislative Candidate	10/31/2004	2/17/2005	A week from hell caused treasurer to forget supplemental filing.	Reduce from \$200 to \$50 because no general election opponent
Committee for Karen Balderston	Legislative Candidate	2/5/2003	4/3/2003	Unaware of supplemental report requirement.	Reduce to \$100 from \$200
Concerned Citizens for Walter	Legislative Candidate	2/20/2003	7/24/2003	Intended to switch to county candidate, human error.	Reduce to \$20 from \$50
Ertl for Iowa House Committee	Legislative Candidate	2/11/2003	4/3/2003	Out of state and forwarded reminder letter was not received until after due date.	Reduce to \$25 from \$50
Friends for Zamora	Legislative Candidate	1/14/2004	4/22/2004	Believes report was timely filed (need for supplemental report not detected until July 2002 report audited)	Reduce to \$100 from \$200
Friends With Hart	Legislative Candidate	1/22/2003	4/3/2003	Thought reporting ended when candidate lost primary and had no funds. Finance director moved.	Reduce to \$25 from \$100
Jack Young for House Committee	Legislative Candidate	9/8/2003	4/22/2004	Volunteer not familiar with report due dates.	Reduce to \$100 from \$600
Jenkins for House Campaign	Legislative Candidate	8/2/2004	10/21/2004	No primary opponent. Importance of pre-primary report reduced.	Reduce to \$50 from \$200
Jim Braun for State Representative	Legislative Candidate	3/13/2003	7/24/2003	Treasurer says report was mailed on time but postmark indicates otherwise.	Reduce to \$10 from \$50
Kramer for House Committee	Legislative Candidate	11/4/2004	2/17/2005	Electronic filing problems, report completed on time but not submitted, volunteer treasurer	Reduce from \$200 to \$50 for late supplemental report because not participating in general election
Re-Election of Wally Horn Committee	Legislative Candidate	3/2/2004	4/22/2004	Waiting for bank statement; out of state	Reduce to \$100 from \$200
Steve Swanson Campaign Committee	Legislative Candidate	4/7/2006	7/12/2006	Thought report was timely faxed	Reduce to \$50 from \$200
Hapgood for Mayor	Linn	1/22/2004	4/22/2004	Confused on due date of report	Reduce to \$100 from \$200
Brian Johnson	Lobbyist	6/8/2003	7/24/2003	Believed report was timely filed.	Reduce to \$50 from \$100
Delafield, Philip	lobbyist	8/22/2007	11/8/2007	did not receive reminder. Filed report electronically upon receiving reminder and did not know that it was not considered a filing after the due date	even though the electronic form states that it is not valid after the filing date, reduce the penalty to the first level

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Suzan Schmitz	Lobbyist	12/1/2004	2/17/2005	Not familiar with reporting requirements in Iowa.	Reduce to \$25 from \$50
Iowa Association of Regional Councils	Lobbyist Client	9/11/2008	2/25/2009	paid staff, including contact, left organization	reduce penalty to \$50
Iowa Reading Association	Lobbyist Client	2/19/2003	4/3/2003	New director. No payments during period.	Reduce to \$25 from \$50
Iowa Reading Association, Clark Goltz	Lobbyist Client	10/8/2009	4/29/2010	new director of association and as such, unaware that the report was due.	reduce penalty to first level
Lucas County Democratic Central Committee	Lucas	3/4/2015	3/26/2015	no internet from May through November and filled out report for October in November. Small county and very small numbers	reduce penalty to recognize attempt to file and problem with internet problems
Koedam for Supervisor	Lyon	12/3/2004	2/17/2005	Did not understand paperwork. Thought report filed 1 day late.	Reduce to \$20 from \$100
Madison County Republican Central Committee, Paul Millhollin	Madison	10/6/2010	2/24/2011	had issues with electronic system and finally mailed report	Reduce penalty by half
Mills County Democratic Central Committee, Don Renshaw	Mills	12/28/2010	2/24/2011	was not aware that reports had not been received until notified by chair	reduce penalty by half
Montgomery County Extension Service Referendum Committee	Montgomery	2/24/2003	4/3/2003	Didn't know about first report, forgot about second report. No committee funds.	Reduce to \$40 from \$120
Samson for Sheriff (Joe)	Montgomery	2/5/2010	4/29/2010	was terminated in "real" job and subsequently forgot to file	reduce penalty to first level
O'Brien County Democratic Central Committee	O'Brien	8/27/2004	10/21/2004	Mailed report 7/15/04.	Reduce to \$25 from \$50
Osceola County Democratic Central Committee	Osceola	6/15/2010	10/14/2010	has been ill during the year, and reminder was sent to a retired chair	reduce penalty to \$20 due to illness
Osceola County Democratic Central Committee	Osceola	9/23/2010	10/14/2010	reports were amended rather than recreated since there was no activity during period	reduce penalty to \$50
Boeckenstedt Greyhound Racing	OTC	3/17/2015	3/26/2015	again, contributions were made from business account and no mention of any reports were received.	reduce penalty to first level to recognize attempt to file.
American Society of Plastic Surgeons PAC	Out of State PAC	5/19/2003	7/24/2003	Was not aware of requirement to file VSR.	Reduce to \$50 from \$100

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Bridge, Structural & Ornamental Ironworkers Local 111 PAC	Out of State PAC	9/28/2004	10/21/2004	New to making Iowa contributions and not familiar with law.	Reduce to \$50 from \$350
Democratic Congressional Campaign Committee	Out of State PAC	6/18/2003	7/24/2003	Attests report was filed.	Reduce to \$25 from \$50
FASPAC - Fareway Stores, Inc. PAC	Out of State PAC	9/22/2003	4/22/2004	Confused about reporting after talking with FEC and did not realize VSR's were required by a federal PAC; minimal contributions	Reduce to \$150 from \$1,850
CJ Bio America PAC	PAC	10/23/2014	12/16/2014	committee entered all information into system prior to due date and thought report was filed. It was not submitted in error	reduce to half due to committee's computer error
Clarke County Republican Women	PAC	8/30/2010	10/14/2010	having issues with fax and using an older number	Reduce penalty to \$50
Crawford County Republican Women Club	PAC	4/28/2010	10/14/2010	Chair resigned and Treasurer left. Acting President had to go to bank to try to re-create report	Reduce amount of penalty to \$150
Democratic Women of Buchanan County	pac	11/13/2012	8/8/2013	completed report but neglected to file submit until next morning when checking entries	reduce to half due to electronic filing error by user
Democratic Women of Buchanan County , Fran Rosauer, Chair	PAC	2/25/2010	4/29/2010	problems with the reporting system at first, then neglected to submit the entered information until after the deadline	reduce penalty to first level
First Congressional District of COPE fund	PAC	8/30/2010	10/14/2010	a new treasurer was appointed shortly before due date, but was not provided with information to file until after due date	reduce penalty to \$10
Iowa NECA PAC	PAC	7/28/2010	10/14/2010	thought information entered into system was filed	reduce penalty to \$25
Iowa PA PAC	PAC	5/20/2014	6/4/2014	treasurer states that she entered all of her information and even took a screenshot of the summary review on the due date. However, she omitted the final step of filing the report	reduce to half as an acknowledgement of trying to be compliant
lowafathers.com lehl, Bryan Chair	PAC	6/11/2008	8/28/2008	weather related problems with electronic filing system	reduce penalty to \$10
Iowans for Freedom PAC	PAC	9/22/2010	10/14/2010	information entered into system amended previous report and new report was not created	Reduce penalty to \$50

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Laborers 430 Political Fund	PAC	6/1/2010	10/14/2010	report date occurred during international audit and first election of officers. Treasurer was responsible for these events as well as the report	reduce penalty to \$25
Libertarian Party of Johnson County	PAC	3/14/2011	7/19/2011	no activity for years and no one will accept position. Balance of funds is 32.84 and was sent to the Board. Committee is now closed	Accept \$32.84 as balance due for late filing since committee is now closed and there was no activity to report
Life for All PAC	PAC	8/24/2010	10/14/2010	confusion with electronic system and previous report was amended rather than a new report created	reduce penalty to acknowledge attempt to file
Rainbow Equality PAC	PAC	10/17/2007	11/8/2007	thought it was filed but due to her computer problem was not sent	reduction to first level
Save Dog Racing PAC	PAC	3/18/2015	3/26/2015	Reviewed thank yous from contributors and notes, nothing says that a report needed to be filed for the contribution. How were we supposed to know to file	not a valid reason for waiver, but reduce penalty to first level
SITIPAC	PAC	3/2/2004	4/22/2004	Bookkeeper left; low activity; problems with electronic filing	Reduce to \$100 from \$200
UFCW Local 230 ABC	PAC	9/4/2003	4/22/2004	Busy with another major issue; change of officers; only in office 1 day/week	Reduce to \$50 from \$100
United Barber Schools Political Action Committee	PAC	6/5/2003	7/24/2003	Lost all staff due to health issues.	Reduce to \$25 from \$100
United Staff Union Iowa Political Action Fund	PAC	5/27/2003	7/24/2003	New PAC confused about when report was due.	Reduce to \$200 from \$400
Wapello County Republican Women	PAC	5/20/2010	10/14/2010	PAC inactive since 2009 with no new officers	reduce penalty to \$100
Page County Democratic Central Committee	Page	9/22/2010	10/14/2010	Electronically submitted DR-1, but it did not transmit. Reminders sent to wrong person and previous chair did not forward immediately	Reduce penalty to \$50
Committee to Re-elect Steven Nelson	Palo Alto	10/12/2006	12/21/2006	electronic report was completed but not filed	reduction to first level of penalty
Patrick J. Palmersheim	PFD	6/6/2003	4/22/2004	Busy at work	Reduce to \$50 from \$100
Stephen C. Smith	PFD	6/18/2003	7/24/2003	Did not receive notice to file.	Reduce to \$25 from \$50

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Protect Our Local Schools	Plymouth	5/21/2003	7/24/2003	Waiting to hear concerning previous waiver request before closing.	Reduce to \$150 from \$300
Committee to Elect AKO	Polk	6/1/2005	9/29/2005	Candidate out of the country; treasurer had medical problem	Reduce to \$50 from \$100
Dennis Clemens for Polk County Supervisor	Polk	4/12/2004	4/22/2004	Thought treasurer also received reminders; treasurer's partner's husband killed; caring for elderly parent; no activity after 2002	Reduce to \$150 from \$400
Jon Neiderbach for School Board	Polk	5/6/2003	7/24/2003	No activity.	Reduce to \$50 from \$300
Ohmart, Ted for City Council	Polk	2/19/2013	8/8/2013	thought report was filed timely, however, noted when I went back to print it had not been filed, so resent at that time	reduce to \$10 in recognition of user error
Vote Yes! Clive Aquatic Center	Polk	5/4/2005	5/19/2005	Confusion regarding when reports due. Committee thought it had mailed report.	Reduce to \$20 from \$100
Committee to Elect Tom Hanafan	Pottawattamie	3/31/2004	4/22/2004	Misread filing date - looked at county candidate due date instead of local candidate due date	Reduce to \$50 from \$100
Riverside Pride, Lowell Forristall	Pottawattamie	11/30/2011	4/27/2012	committee was unaware of any filing requirements, and therefore missed 4 reports total. One at \$100, rest at \$200 for length of time unfiled.	since violations were self reported, reduce penalties to first ranges, total due of \$300 from \$700
Ringgold County Democratic Central Committee	Ringgold	9/3/2010	10/14/2010	was under impression that reports had to be filed via web and home computer was not working, so forgot to file	Reduce penalty to \$100
Ringgold County Republican Central Committee	Ringgold	9/1/2004	10/21/2004	Thought report was faxed.	Reduce to \$50 from \$200
Ringgold County Republican Central Committee	Ringgold	6/15/2010	10/14/2010	entirely new committee officers and treasurer thought filing was just annually	reduce penalty to \$25
Sac County Gaming Committee	Sac	2/27/2004	4/22/2004	Treasurer out of state; waiting for bills to come in and be paid to disband	Reduce to \$40 from \$70
Eldridge Family Aquatic Center Committee	Scott	1/6/2003	4/3/2003	Requested reduction. Ignorance of the law. Volunteer committee.	Reduce to \$150 from \$350

<u>Committee or Person</u>	<u>Committee Type or County</u>	<u>Date of Request</u>	<u>Date of Board Order</u>	<u>Request Reason</u>	<u>Summary of Board Order</u>
Frink for City Council (Ian)	Scott	5/14/2009	4/29/2010	thought that all he had to do was close bank account and that would close committee.	reduce to 2nd level as committee has now been closed and was inactive for a number of months, plus he was attempting to comply
Taxpayers United, Michael Steen, Chair	Scott	4/12/2010	4/29/2010	Bank account closed and final funds dispersed. Thought the final report and dissolution had been filed.	Reduce penalty to 2nd level
Christensen, Nathan for Sheriff	Shelby	4/24/2013	8/8/2013	started with nomination papers, and accosted by Sheriff as to why the opposition, why I was running, etc. Complaints were sent to the Board about gun classes, etc. Phone calls about running continued. Then received letter from Board about not filing reports which I did not know needed to be filed. Am requesting waiver or reduction	Reduce total of penalties due to \$300. Candidate was told about filing requirements when complaint about gun class was addressed
Hull for Supervisor, Delbert	Shelby	7/25/2012	8/23/2012	thought report was filed correctly when completed	report was entered, but not submitted. Reduce to \$10 due to intent
Shelby County Republican Central Committee	Shelby	3/12/2008	5/15/2008	had problems with electronic filing and didn't receive reminders	reduce to first level as attempt was made to file timely
Business Leadership Council	Statewide PAC	3/2/2007	6/28/2007	no members, no meetings, no funds. No activity for over a year	reduce penalty to 10%, issue Reprimand, and administratively dissolve this committee
Democratic Women of Buchanan County	Statewide PAC	1/21/2005	2/17/2005	Sick.	Reduce to \$50 from \$100
Harrison County Republican Women	Statewide PAC	8/26/2004	10/21/2004	Busy assisting mother following surgery, county fair details and attending meeting in Des Moines	Reduce to \$25 from \$50
Home Builders Association	Statewide PAC	12/6/2006	12/21/2006	Committee called stating that mail was not being delivered timely. Committee faxed report upon learning that report was not received timely. Report was received with PRIVATE postmark of due date later	As attempt was made to timely file, and law requires a US postmark, reduce level of penalty to first level.
Mechanical Contractors Association of Iowa PAC	Statewide PAC	1/21/2005	2/17/2005	Report did not go through fax machine.	Reduce to \$25 from \$50

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United Barber Schools PAC	Statewide PAC	10/26/2005	12/1/2005	Ongoing medical condition of chair beginning in January 2005.	Reduce to \$100 from \$500.
Collins-Maxwell KIDS	Story	8/20/2012	8/23/2012	committee had 2 elections but didn't know that election year reports were due, only thought the January ones	due to lateness of notification, the penalties amounted to \$700 for \$800 in activity. Reduce penalty total to \$100
Nevada School Facilities Committee	Story	3/24/2003	4/3/2003	Confused on where and when to file reports.	Reduce to \$70 from \$300
Werner School Board	Story	2/15/2008	5/15/2008	prepared report electronically, but did not submit correctly	reduce to reflect attempt to timely file
McCann for Supervisor	Union	1/21/2003	4/3/2003	Ignorance of the law. Exceeded \$750 due to in-kind contributions from himself.	Reduce to \$70 from \$300
AG PAC, Matt Caswell	VSR	4/17/2015	8/20/2015	although Mr. Caswell reported his contributions with the FEC, he neglected to report them via VSRs to the state. This was because the treasurer of the committee was new and he was focused on other issues	reduce penalties by 50% as the total seems excessive and PAC has now hired a lobbyist to oversee contributions in Iowa
Carpenters District Council of Kansas City	VSR	9/28/2010	10/14/2010	person in charge of online filing left office and then office merged with another location, VSR copies were sent to recipients, but not entered in system	reduce penalty to acknowledge attempts
Eli Lilly PAC	VSR	4/14/2009	4/30/2009	first VSR late triggered others not filed on that date	reduce to first penalty amount
IBEW #8026	VSR	11/10/2010	2/24/2011	new treasurer to PAC who inadvertently sent VSRs to receiving committees instead of to the Board.	Reduce penalty
Iowa Renewable Energy PAC	VSR	3/6/2009	4/30/2009	timely attempt was made, but fax was busy, didn't notice	reduce penalty to first amount
Huston, Norville	Warren	2/2/2007	6/28/2007	account was left open for years following an unsuccessful campaign and off year reports were made on time. Did not realize that reports would be due other than year end, since candidate was not on ballot during election year.	reduce all penalties to half

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Miksch for Supervisor	Washington	3/16/2009	4/30/2009	reports voluntarily filed in recognition of election year status, electronic filing problems	reduce penalties to first level
Washington County Republican Central Committee Mangold, Adam, Chair	Washington	7/14/2008	8/28/2008	computer related issues	reduce penalty to \$20
Citizens for Eddie Peterson	Webster	12/20/2004	2/17/2005	Thought he did not have to report until expenses exceeded \$750	Reduce to \$70 from \$300 (2 reports)
Committee to Elect Dencklau	Webster	7/11/2006	12/21/2006	waiver requested by auditor due to oversight	reduction to first level of penalty
Winneshiek County Democratic Central Committee	Winneshiek	6/16/2010	10/14/2010	new treasurer forgot to "submit" report although all information was in the system	reduce to acknowledge attempt to file
Winnishiek County Republican Central Committee	Winnishiek	9/21/2010	10/14/2010	entered information but did not "submit" report	reduce penalty to \$20
Ashley, Brian for Supervisor	Woodbury	2/13/2013	8/8/2013	says report was filed on 1/17/13	no record of a report filed on 1/17/13. User error. Reduce to \$10
Hobart, Mike for Supervisor	Woodbury	1/17/2013	8/8/2013	Original committee was opened in 2010. Lost in general. Report filed in Janaury 11. No activity until January 2012, then amended to reflect campaign for 2012. Lost in primary. Understanding was that if I did not raise contributions in 2012 after loss and did not exceed minimum May, July, and October reports would not be required. Did not raise any during that period. Small balance remains which is intended for chairity if not required for the penalties.	Reduce penalty to balance of committee account (77.24) and close committee
Padgett for Council Committee	Woodbury	1/14/2004	4/22/2004	Inactive for over two years; reminder sent to candidate instead of treasurer; no intent to evade law	Reduce to \$50 from \$100
Wright County Democratic CC, Lucas Beenken, Chair	Wright	2/12/2010	4/29/2010	traveling out of state and had bad weather	reduce penalty to first level